Evolutionary analysis of hepatitis C virus gene sequences from 1953

Rebecca R. Gray1, Yasuhito Tanaka2, Yutaka Takebe3, Gkikas Magiorkinis1, Zelma Buskell4, Leonard Seeff5, Harvey J. Alter6 and Oliver G. Pybus1

1Department of Zoology, University of Oxford, Oxford, UK
2Department of Virology and Liver Unit, Nagoya City University Graduate School of Medical Sciences, Kawasaki, Mizuho, Nagoya, Japan
3AIDS Research Center, National Institute of Infectious Diseases, Tokyo 162-8640, Japan
4Veterans Affairs Medical Center, Washington, DC, USA
5The Hill Group, Bethesda, MD, USA
6Department of Transfusion Medicine, National Institutes of Health, Bethesda, MD, USA

Reconstructing the transmission history of infectious diseases in the absence of medical or epidemiological records often relies on the evolutionary analysis of pathogen genetic sequences. The precision of evolutionary estimates of epidemic history can be increased by the inclusion of sequences derived from ‘archived’ samples that are genetically distinct from contemporary strains. Historical sequences are especially valuable for viral pathogens that circulated for many years before being formally identified, including HIV and the hepatitis C virus (HCV). However, surprisingly few HCV isolates sampled before discovery of the virus in 1989 are currently available. Here, we report and analyse two HCV subgenomic sequences obtained from infected individuals in 1953, which represent the oldest genetic evidence of HCV infection. The pairwise genetic diversity between the two sequences indicates a substantial period of HCV transmission prior to the 1950s, and their inclusion in evolutionary analyses provides new estimates of the common ancestor of HCV in the USA. To explore and validate the evolutionary information provided by these sequences, we used a new phylogenetic molecular clock method to estimate the date of sampling of the archived strains, plus the dates of four more contemporary reference genomes. Despite the short fragments available, we conclude that the archived sequences are consistent with a proposed sampling date of 1953, although statistical uncertainty is large. Our cross-validation analyses suggest that the bias and low statistical power observed here likely arise from a combination of high evolutionary rate heterogeneity and an unstructured, star-like phylogeny. We expect that attempts to date other historical viruses under similar circumstances will meet similar problems.

1. Introduction

For some of the most important viral epidemics of the twentieth century, most notably those caused by HIV-1 and the hepatitis C virus (HCV), little reliable epidemiological information is available until the discovery of the infectious agent, in 1983 for HIV-1 and in 1989 for HCV. Reconstruction of the early transmission dynamics of these epidemics therefore relies upon statistical inferences, either through the application of mathematical epidemiological models or by the evolutionary analysis of viral genetic sequences. The latter approach has gained in popularity owing to the widespread and increasing availability of viral genomes and the adoption of analytical methods that can estimate epidemic history from pathogen sequences [1]. The precision and accuracy of such estimates may be improved by inclusion of ‘ancient’ virus sequences that are evolutionarily distinct from current strains [2] and which, ideally, represent infections that occurred early in an epidemic. Notable viral sequences that have been obtained from archived sera or preserved tissue include the genome of the devastating 1918 ‘Spanish flu’ H1N1 human influenza virus [3] and partial variola virus sequences obtained from a 300-year-old Siberian mummy [4]. Viral sequences such as these can be thought of as an ‘archaeological record’ of viral diversity,
complementing the much more ancient viral ‘fossil record’ provided by endogenous viral elements [5].

Historical virus sequences may be uncommon because the pathogen was not identified during early epidemic spread, hence specimens were not kept, or because prevalence was much lower in the past, or because the method of specimen storage did not lend itself to nucleic acid preservation. When historical viral sequences are available, they not only prove that an infection must have existed at a certain time in the past, but can also strengthen statistical estimates of parameters of interest, such as rates of molecular evolution, dates and locations of epidemic origin and past rates of transmission [2]. For HIV-1, the utility of historical isolates was demonstrated through the discovery and analysis of two archived strains from the Democratic Republic of Congo, one obtained from a 1959 plasma sample [6,7] and the other from a 1960 paraffin-embedded tissue sample [6]. However, for HCV, there are remarkably few sequences that substantially predate discovery of the virus in 1989. The earliest dated HCV subgenomic sequence published to date represents a 1976 strain from the USA (AB079715; [8]). Of more than 117 000 sequences available in the HCV sequence database [9], only 162 sequences with known dates of sampling (and derived from human infections) were collected before 1986, most of which are short subgenomic fragments.

HCV currently circulates worldwide and is classified into seven genetically divergent genotypes, with each genotype further subdivided into numerous subtypes [10]. A few subtypes (most notably 1a and 1b) are highly prevalent worldwide and considered to be ‘epidemic’ subtypes [11,12]. Although HCV now infects a substantial proportion of the world’s population, using medical records to reconstruct the history of HCV transmission before 1989 has proved difficult, because the symptoms of acute HCV infection are typically mild or unspecified. From historical evidence we know that during the second half of the twentieth century the number of hepatitis cases in the USA increased dramatically among individuals who had received blood transfusions, particularly among those who received pooled and/or commercial plasma [13]. At the time, many of these infections could not be attributed to hepatitis A virus or hepatitis B virus infection [14]; hence a distinct but unknown infection, termed non-A non-B hepatitis, was proposed to be the disease-causing agent [15]. After many years of research this pathogen was identified in 1989 as HCV, an enveloped single-stranded positive-sense RNA virus classified in the family Flaviviridae [16].

While a few studies have used mathematical models to back-calculate historical incidence from contemporary patterns of HCV seroprevalence [17–19], the majority of evidence for HCV transmission before 1989 has come from the evolutionary analysis of virus gene sequences collected in the last two decades of the twentieth century. Using phylogenetic, molecular clock and coalescent methods, these studies have revealed a long and complex history of transmission that began substantially before the mid-twentieth century (e.g. [8,11,12]).

To address the paucity of HCV genetic data from the decades preceding its identification, we here report on and analyse two subgenomic HCV subtype 1b sequences that were recovered from human sera sampled in the USA in 1953. These sequences represent the earliest genetic evidence of HCV infection to date. We use a new phylogenetic ‘tip-dating’ method to investigate the possibility of contamination with modern HCV sequences. Our analyses produced surprising results that highlight the need to carefully interpret and validate the results of molecular clock methods commonly used to date historical pathogen sequences. We find that HCV subtype 1b diversity was already high by the mid-twentieth century, and we provide new estimates for the likely time of entry of the virus into the USA.

2. Material and methods

(a) Archived hepatitis C virus isolates

In previous work, Seeff et al. [20] screened for antibodies against HCV more than 8000 archived blood samples that had been obtained between 1948 and 1955 from US military recruits. They reported that 17 samples were positive for anti-HCV antibodies, of which 11 were positive for HCV RNA using PCR. Ten of the 11 PCR-positive samples were classified as subtype 1b but no HCV sequences were reported [20]. Here, we provide partial NS5B sequences from two of these 10 isolates, named US1953a and US1953b (genome positions 7939–8274 relative to the H77 reference strain). The amplification and sequencing protocol undertaken to obtain these sequences was the same as that described in [8]. Sequences have been deposited in GenBank under accession nos. KP261994 and KP261995. Alignments are available from the authors on request.

(b) Whole genome sequence alignment

We downloaded all available whole genome sequences of HCV subtype 1b with known dates of sampling from the Los Alamos HCV Database (n = 170; as of February 2012) [9]. Sampling dates ranged from 1988 to 2008. Sequences were aligned manually using BioEdit. All isolate names and accession numbers are provided in the electronic supplementary material, table S1.

(c) Pairwise diversity

Pairwise genetic distances for the whole genome dataset were calculated using the maximum composite likelihood model with pairwise deletions and gamma-distributed among-site rate variation, as implemented in MEGA v. 1.5 [21].

(d) Phylogenetic analysis

We estimated two maximum-likelihood (ML) phylogenies that represent (i) the 170 sequences in the whole genome dataset and (ii) the 170 sequences plus the two new isolates from 1953. Both trees were estimated using a general-time reversible nucleotide substitution model with gamma-distributed among-site rate variation, using the ML method implemented in MEGA v. 1.5 [21]. Phylogenetic support was evaluated using a bootstrap approach: ML trees were estimated from 200 bootstrap replicate alignments using PvioML v. 3.0 [22]. To evaluate the temporal evolutionary signal in the dataset prior to molecular clock analysis, we used PATH-O-GEN v. 1.2 to calculate regressions of root-to-tip genetic distance against sampling time (available from http://tree.bio.ed.ac.uk). Phylogenies were visualized and annotated in FigTree (available from http://tree.bio.ed.ac.uk).

(e) Bayesian estimation of sequence sampling time

Shapiro et al. [23] recently developed a new phylogenetic approach that estimates the date of sampling of a historical molecular sequence. Given a set of temporally sampled (heterochronous) sequences whose sampling dates are known, plus a target sequence whose sampling time is unknown, molecular clock models can be used to estimate the unknown date with statistically appropriate confidence intervals. Here, we applied this ‘tip-dating’ method to
assess the evolutionary information contained in our archived HCV sequences and to explore the possibility that they represent contamination by modern HCV sequences. To estimate the sampling date of a sequence, we set the isolation date of that sequence to be a random variable, then used Bayesian Markov chain Monte Carlo (MCMC) sampling to estimate the posterior probability distribution of the unknown date [23]. All analyses were performed using BEAST v. 1.7 [24]. MCMC sampling was performed for at least $1 \times 10^7$ generations, with states sampled every $1000$th generation. MCMC convergence and effective sample sizes were evaluated using TRACER (http://tree.bio.ed.ac.uk/software/tracer/).

For computational tractability, in each tip-dating analysis, we used a smaller dataset of 59 HCV genomes with known sequence sampling dates selected from the whole genome dataset, which is hereafter referred to as the ‘tip-dating whole genome’ alignment. Sequences were selected for removal in a manner that preserved a wide and even range of sampling dates. We performed tip-dating analyses separately for each of the two 1953 sequences. Additionally, we undertook cross-validation of the tip-dating approach by repeating it on four reference strains whose year of sampling was unambiguous (accession numbers: EU256088, 2003; HQ110091, 1996; EU155336, 1992; EU482849, 1989). All isolate names and accession numbers are provided in the electronic supplementary material, table S2.

To determine the effect of using short subgenomic fragments during tip-dating analysis, the four above-mentioned reference strains were tested both as full genomes and as subgenomic sequences cropped to the same 336 nt region as that available for the 1953 isolates. Analyses were performed using both strict and relaxed (lognormal) relaxed molecular clock models, the HKY + G model of nucleotide substitution, empirical base frequencies and the Bayesian skyline coalescent model [25]. We imposed a constraint on phylogenetic topology during MCMC sampling to prevent the 1953 strain from being erroneously placed as a monophyletic outgroup to the remainder of the taxa. Preliminary analyses indicated a lack of MCMC convergence in several runs. We posited that this was because the data contain limited information about the phylogenetic time-scale (i.e. the variance in taxa sampling time is small compared with the age of the tree), as suggested by the bootstrap results in figure 1c. To resolve this, we placed a normal prior distribution (mean = 1920, s.d. = 23 years) on the date of the phylogeny root. This information on root age was obtained from the most comprehensive molecular clock analysis of HCV subtype 1b undertaken to date [26].

(f) Epidemic history of the US epidemic
Of the 170 whole HCV subtype 1b genomes obtained for analysis, 106 were sampled from the USA. These 106 genomes, referred hereafter as the ‘USA whole genome’ alignment, plus the two 1953 sequences, were used to estimate the date of origin and epidemic history of HCV subtype 1b in the USA (isolate names and accession numbers provided in the electronic supplementary material, table S3). The time of the most recent common ancestor of these taxa was estimated using BEAST v. 1.7 [24] using both constant size and Bayesian skyline coalescent models. In addition, a second set of analyses was performed on the ‘USA whole genome’ alignment only (i.e. without the 1953 sequences) in order to determine the impact of inclusion of the archived strains on the estimation of evolutionary parameters.

3. Results

(a) Pairwise diversity
Pairwise genetic diversities were calculated only for the 336 nt region of the HCV NS5B gene that was available for the historical sequences US1953a and US1953b. Within this subgenomic region, the mean pairwise nucleotide diversity among the 170 subtype 1b reference sequences was 0.071 substitutions per site. In comparison, the pairwise nucleotide diversity between the two sequences from 1953 was 0.066 substitutions per site, close to that observed among all strains (figure 1a).

(b) Phylogenetic analysis
The ML tree for subtype 1b estimated from the whole genome alignment ($n = 170$) plus the two 1953 sequences is shown in figure 1b (a fully annotated tree is provided in the electronic supplementary material, figure S1). The phylogeny shows some spatial structure, with two well-supported clades containing the majority of the Chinese and Japanese sequences, as noted previously [27]. Several well-supported clades of US sequences are also evident. However, clades containing mainly European sequences did not have high bootstrap scores. The two new archived isolates (US1953a and US1953b) are shown in red and did not cluster together. The genetic distances of US1953a and US1953b from the root of the tree appeared to be smaller than those for other taxa.

(c) Root-to-tip divergence
To explore the temporal signal in our dataset, we calculated a plot of root-to-tip genetic distance versus taxon sampling date (figure 1c, red) from the ML phylogeny estimated above (figure 1b). To visualize the variation arising from phylogenetic uncertainty in this plot, we superimposed the results from 25 randomly selected ML bootstrap phylogenies (figure 1c, black). Despite a high variation in estimated root-to-tip distances for the 1953 isolates, there is a tendency for these strains to be closer to the root than the sequences sampled after 1988 (figure 1c). The gradient of this plot, which represents evolutionary rate, was 0.00107 substitutions per site per year, which is marginally lower than the rate estimated previously for subtype 1b whole genomes using a Bayesian relaxed molecular clock approach (0.00118–0.00125 substitutions per site per year) [26]. The $x$-intercept, which represents an estimate of the date of the phylogeny root, was 1894. Confidence limits and $p$-values for this regression are not statistically valid [28] and therefore not reported here.

(d) Bayesian estimation of sequence sampling time
Tip-dating analyses were performed separately for four target sequences with unambiguous years of sampling (EU256088, 2003; HQ110091, 1996; EU155336, 1992; EU482849, 1989) as well as for the two 1953 sequences. Preliminary analyses showed that a lognormally distributed relaxed molecular clock model fitted the data significantly better than the strict molecular clock and thus the former was used in all subsequent analyses (data not shown). As expected, using a short subgenomic region for the four target sequences considerably increased the variance of estimated sampling dates, and in some cases led to a failure of MCMC convergence (data not shown). Figure 2 shows the results of the tip-dating analyses for the four whole genome target sequences, plus the two 1953 sequences.

Figure 2a shows the posterior probability density of the estimated sampling date for each of the six sequences investigated. Each distribution is truncated at 2008, the date of the most recent sequence in the alignment. As the true
sequence age becomes more recent, the probability density shifts to the right (towards the present) and the variance of the posterior distribution decreases. Further, we can use these distributions to directly calculate the probability that the 1953 isolates were sampled before 1989, which is the year that PCR amplification of HCV in diagnostic laboratories began. This probability was 0.67 for US1953a and 0.81 for US1953b. By contrast, the probabilities that the 2003, 1996, 1992 and 1989 sequences were sampled prior to 1989 were lower (0.18, 0.33, 0.25 and 0.53, respectively).

A variety of statistics could be drawn from the posterior probability distributions in figure 2a, and we can use our cross-validation results to explore which might be the most statistically informative. In figure 2b, we plot the true (or proposed) year of sampling against three values from each of the six posterior distributions shown in figure 2a: (i) the mean of the posterior distribution, (ii) the upper 95% credible interval (CI) of the posterior distribution and (iii) the lower 95% CI of the posterior distribution. For a perfect estimator of sequence age, the gradient of the estimated sampling date against the true date should be 1.0. A regression (black line) drawn through the mean values (squares) for the four target sequences has a gradient of only 0.55 (figure 2b), indicating that this value increasingly underestimates the true sampling date as historical sequences get older. Remarkably, the corresponding gradient for the lower 95% CI values (triangles) is zero, demonstrating almost no statistical power to reject recent sampling dates, even when whole genomes are
used and the true date of sampling is approximately 20 years into the past (figure 2b). The gradient for the upper 95% CI values (circles) is 0.75, which means that the left-hand tail of each posterior distribution tracks changes in true sampling date better than the mean (each upper 95% CI is approximately 15–25 years earlier than the true date; figure 2). We extrapolated these three regression lines (dotted lines) from the four unambiguously dated target sequences to the two new 1953 isolates (blue and red). In each case, the mean and 95% CI values of the 1953 isolates approximately match the extrapolated values (figure 2b). In other words, although the mean sampling date estimates of the 1953 sequences are 1968 and 1978, respectively, this level of underestimation should be expected in this dataset for sequences more than 50 years old.

(e) History of the US epidemic

In order to reconstruct HCV epidemic history in the USA and to explore the effects of including the 1953 sequences on such estimates, we analysed 106 whole genome sequences with and without the two sequences from 1953. Bayesian MCMC analyses were performed under three models: (i) a strict molecular clock with a constant size coalescent model, (ii) a relaxed molecular clock with a constant size coalescent model and (iii) a relaxed molecular clock with a Bayesian
The skyline plot coalescent model. The relaxed clock analyses (lognormal model) significantly outperformed the strict clock analysis (Bayes factor greater than 400). When analyses (ii) and (iii) were compared, the Bayesian skyline plot analysis was not significantly better than the constant size model (Bayes factor less than 1.0). The estimated HCV substitution rates were similar under each model but were slightly higher when the 1953 sequences were excluded (figure 3a). Consequently, the estimated date of the most recent common ancestor of HCV in the US was slightly more recent when the 1953 sequences were excluded, although this change was not significant (figure 3b). When the 1953 isolates were included, the estimated date of the most recent common ancestor of the US epidemic was 1901 (95% CIs: 1874–1926). Figure 3c shows the estimated Bayesian skyline plot, which matches previous reconstructions [12]. The skyline plot shows exponential growth in the effective number of infections between approximately 1900 and the 1980s, albeit within very wide CIs.

4. Discussion

Here, we report and analyse two subgenomic HCV subtype 1b sequences that were recovered from sera sampled in the USA in 1953. These sequences represent the earliest genetic evidence of HCV infection to date and precede all previously available HCV sequences by several decades. Such samples have the potential to provide information about the dynamics of the HCV epidemic prior to the discovery of the virus in 1989. However, data associated with historical samples (such as the date of sampling) could become lost or incorrectly transposed, and there is the possibility of contamination with modern virus sequences during sample handling. Thus it is important to have a statistical technique that can validate the date of a historical sequence directly from sequence data itself.

In this study, we used a new Bayesian phylogenetic ‘tip-dating’ method [23] to estimate the isolation dates of two historical sequences, as well as four reference strains whose sampling dates were known. Although in each case, the CIs contained the true (or proposed) date of sampling, our results were in some ways surprising: estimated sampling dates were associated with statistical bias and uncertainty, both of which increased for older sequences even when whole HCV genomes were used. This contrasts with the tight CIs and low bias reported previously when dengue virus sequences were analysed using the same technique [23]. Three differences relating to phylogenetic structure and sampling may explain these divergent results. First, in the dengue virus analysis, sequences were sampled during almost the entire course of the epidemic, including historical strains close to the phylogeny root. By contrast, for the HCV subtype considered here, all available sequences (with the exception of the 1953 strains) were sampled during the last third of the epidemic and none were sampled near the phylogeny root. Second, HCV is known to exhibit substantial among-lineage evolutionary rate variation, which can add considerable uncertainty to molecular clock estimates. Third, the dengue virus phylogeny was more structured (i.e. contained several well-supported internal branches) than the phylogeny of HCV subtype 1b, which is much more star-like, with long terminal branches and few well-supported internal nodes (figure 1).

These factors likely combine in our study to explain the lack of statistical power. Specifically, high among-lineage
variation in HCV evolutionary rates means that a long term-
inal branch in the HCV phylogeny can take a range of
 plausible rate/date combinations. Since there are few well-
supported internal nodes to help constrain these branches,
their lengths (and associated sampling dates) are only
weakly bounded, in one direction by the present, and in
the other by the numerous divergence events that cluster
around the root of the phylogeny. The latter bound is clearly
stronger than the former, as there is consistently more statis-
tical power to reject sampling dates that are too old compared
with those that are too recent (figure 2). Analyses of the four
target sequences using a strict molecular clock support this
explanation: when among-branch rate variation is absent,
there is much more statistical power to reject recent sampling
dates (data not shown). Consequently, in our study, the
mean, median and mode of the posterior distribution of
sampling date are all uninformative estimators of the true
date. These results demonstrate that the temporal sampling of
sequences, phylogeny structure and evolutionary rate variation
should be taken into account when using tip-dating methods,
and that the results of such analyses must be interpreted care-
fully. The statistical performance of tip-dating methods clearly
needs to be investigated under a wider variety of evolutionary
scenarios than those previously considered [23].

The 1953 sequences investigated here were obtained from
sera stored in glass vials at −20°C and rarely aliquoted [20].
The discovery of antibodies against HCV in the same collection
[20] testifies to their good preservation. The sequences are
therefore unlikely to have accumulated a significant amount of
‘post-mortem’ DNA damage, a problem more commonly
encountered in the analysis of ancient DNA sequences
obtained from environmental material [29]. Further, adding a
statistical model of sequence damage to our molecular clock
analyses [29] had no notable effect on estimated sequence
sampling times (data not shown). Thus, there is no evidence
to suggest that the estimation bias we encountered arose
from sequence damage during sample storage.

Interessingly, the pairwise nucleotide diversity between
the two 1953 sequences was close to that observed among
modern reference strains, suggesting that transmission of
HCV subtype 1b had been underway for some time before
1953. Such transmission may have occurred at a low rate,
and previous evolutionary analyses have indicated that
rapid exponential growth of subtype 1b did not begin until
the 1950s [12]. This observation is consistent with an epidemi-
ological study that reconstructed the HCV epidemic in US
haemophiliacs, which found increased HCV incidence for
individuals with severe or moderate haemophilia concurrent
with the introduction of fresh-frozen plasma (1940s) and
cryoprecipitate (1960s) [30]. A high pairwise genetic distance
among historical isolates was also reported for HIV-1; two
early sequences recovered from clinical material obtained in
1959–1960 were genetically divergent, despite being sampled
more than 20 years before the discovery of HIV-1 [6]. These
results highlight the importance of the earliest phases of patho-
gen emergence in a population, during which a substantial
amount of viral diversity may accumulate even though trans-
mision is slow and population prevalence may be low.

The subsequent global dissemination of HCV subtypes 1a
and 1b probably commenced after World War II. Physio-
genetic analyses have suggested that the direction of spread
was mainly from developed countries to the developing
world, with the USA as a likely source location [12]. It is
hypothesized that the global dispersal of subtypes 1a and 1b
occurred in two steps, starting with widespread export of
the virus from the USA to the rest of the world around
1940–1950, followed by local expansion of the exported
lineages [12]. The initial step coincided with the international
transportation of dried plasma units, a massive operation
that involved the pooling and shipping of at least 10 million
independent donations to sites of military operations over
5–10 years [13]. Our observation of high HCV sequence
diversity in the USA during the 1950s is in agreement with
this scenario.

We estimate that the time of the most recent common ances-
tor of subtype 1b in the USA to be 1901 (1874–1926), consistent
with previous estimates [11,12]. However, the present analysis
provides tighter CIs than those reported previously for subtype
1b using two subgenomic regions (1905–1965 and 1806–1959;
[12]), reflecting the increased information gained from using
whole-genome reference sequences and from the inclusion of
the two 1953 sequences. While direct amplification of viral
genes from archived biological samples may prove challenging
using long-range PCR approaches, owing to nucleic acid
degradation, modern high-throughput sequencing technol-
gies may alleviate such difficulties, as they have done in the
field of ancient DNA research [31]. The generation of further
historical viral sequences has great potential to enhance our
incomplete understanding of the epidemic history of HCV as
well as that of many other established and newly emergent
infections of humans.

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